# WISCONSIN DEPARTMENT OF REGULATION & LICENSING



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## STATE OF WISCONSIN BEFORE THE BOARD OF NURSING

IN THE MATTER OF DISCIPLINARY:

PROCEEDINGS AGAINST

FINAL DECISION AND ORDER LS9605151NUR

ADA L. CLIFFORD, R.N., RESPONDENT.

The State of Wisconsin, Board of Nursing, having considered the above-captioned matter and having reviewed the record and the Proposed Decision of the Administrative Law Judge, makes the following:

## **ORDER**

NOW, THEREFORE, it is hereby ordered that the Proposed Decision annexed hereto, filed by the Administrative Law Judge, shall be and hereby is made and ordered the Final Decision of the State of Wisconsin, Board of Nursing.

The Division of Enforcement and Administrative Law Judge are hereby directed to file their affidavits of costs with the Department General Counsel within 15 days of this decision. The Department General Counsel shall mail a copy thereof to respondent or his or her representative.

The rights of a party aggrieved by this Decision to petition the department for rehearing and the petition for judicial review are set forth on the attached "Notice of Appeal Information."

Dated this \_\_\_\_\_\_\_day of \_\_\_\_\_\_\_\_1997.

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# STATE OF WISCONSIN BEFORE THE BOARD OF NURSING

# IN THE MATTER OF THE DISCIPLINARY PROCEEDINGS AGAINST

PROPOSED DECISION Case No. LS-9605151-NUR

ADA L. CLIFFORD, R.N., RESPONDENT.

#### **PARTIES**

The parties in this matter under § 227.44, Stats, and for purposes of review under § 227.53, Stats., are:

Ada L. Clifford, R.N. W3808 Spring Creek Drive Tomahawk, Wisconsin 54487

Board of Nursing P.O. Box 8935 Madison, WI 53708-8935

Department of Regulation & Licensing Division of Enforcement P.O. Box 8935 Madison, Wisconsin 53708

This matter was commenced by the filing of a Notice of Hearing and Complaint on May 15, 1996. A hearing was held in the above-captioned matter on June 25, 1996. Atty. James W. Harris appeared on behalf of the Division of Enforcement. Ada L. Clifford did not appear at the hearing.

Based upon the record herein, the Administrative Law Judge recommends that the Board of Nursing adopt as its final decision in this matter, the following Findings of Fact, Conclusions of Law and Order.

#### FINDINGS OF FACT

- 1. Ada L. Clifford (d.o.b. 10/02/41), is licensed as a registered nurse in the State of Wisconsin (license #92817, which was first granted on March 27, 1986). Respondent's most recent address on file with the Department of Regulation and Licensing is W3808 Spring Creek Drive, Tomahawk, Wisconsin 54487.
- 2. At least from January 1993 to February 1994, respondent was employed as a registered nurse at Mount View Care Center, Wausau, Wisconsin.

3. Between January 1993 and February 1994, respondent diverted prescription medications, and the controlled substance, phenobarbital, from outgoing patient supplies at Mount View Care Center.

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- 4. On June 20, 1994, the following drugs were received by law enforcement officials from respondent's home: albuterol, prochlorperazine, dexamethasone, haloperidol, propranolol hydrochloride and phenobarbital.
- 5. On December 15, 1995, respondent was convicted in Marathon County Circuit Court of one Count of misdemeanor theft of movable property (drugs), in violation of s. 943.20 (1) (a), Stats. Sentence was withheld and respondent was placed on probation for one year.
- 6. Respondent did not file an Answer to the Complaint filed in this matter, and did not appear at the hearing held in this matter.

#### CONCLUSIONS OF LAW

- 1. The Board of Nursing has jurisdiction in this matter pursuant to s. 441.07, Stats., and s. N 7.04, Wis. Adm. Code.
- 2. Respondent by having been convicted of the crime of theft (of drugs) the circumstances of which substantially relate to the practice of professional nursing, violated s. 441.07 (1) (b) and (d) Wis. Stats., and s. N 7.04 (1), (2) and (15) Wis. Adm. Code.
- 3. By failing to file an Answer to the Complaint and failing to appear at the hearing held in this matter, respondent is in default under s. RL 2.14 Wis. Adm. Code.

#### **ORDER**

**NOW, THEREFORE, IT IS ORDERED** that the license of Ada L. Clifford (#92817) to practice as a registered nurse be, and hereby is, revoked.

IT IS FURTHER ORDERED that pursuant to s. 440.22, Stats., the cost of this proceeding shall be assessed against respondent, and shall be payable to the Department of Regulation and Licensing.

This order is effective on the date on which it is signed by a designee of the Board of Nursing.

#### **OPINION**

This matter was commenced by the filing of a Notice of Hearing and Complaint on May 15, 1996. A hearing was held on June 25, 1996. Atty. James W. Harris appeared on behalf of the Department of Regulation and Licensing, Division of Enforcement. Ms. Clifford did not file an Answer to the Complaint and did not appear at the hearing.

By failing to file an Answer to the Complaint and failing to appear at the hearing held in this matter, Ms. Clifford is in default under s. RL 2.14 Wis. Adm. Code. Therefore, the Board of Nursing may make findings and enter an order on the basis of the Complaint and other evidence.

The evidence presented in this case establishes that by having been convicted of the crime of theft on December 15, 1995, Ms. Clifford violated s. 441.07 (1) (b) and (d), Stats., and s. N 7.04 (1) (2) and (15), Wis. Adm. Code.

Ms. Clifford was convicted of one count of misdemeanor theft on December 15, 1995. Sentence was withheld and she was placed on probation for one year. Her conviction is based, in part, upon her admission that she took drugs from patients that were being released from the Mount View Care Center. She admitted that the drugs should have been collected from the patients and returned to the Pharmacy. She told law enforcement officials who conducted the criminal investigation that she did not obtain the drugs for her own personal use. She told the investigators that her husband took some of the drugs; that a family member that is asthmatic was given something for his condition which seemed to relieve his symptoms, and that she used some of the drugs to perform sterilization procedures on her male animals.

Section 111.321 and 111.322, Stats., prohibit a licensing agency from discriminating against an individual on the basis of a conviction record. Section 111.335 (1) (c), Stats., provides that notwithstanding s. I11.322, it is not discrimination because of conviction record to terminate from licensing any individual who has been convicted of any felony, misdemeanor or other offense the circumstances of which substantially relate to the circumstances of the licensed activity.

The purpose of the exception structured by the Legislature in s. 111.335 (1) (c), Stats., was discussed by the Wisconsin Supreme Court in <u>County of Milwaukee v. Labor and Industry Review Commission</u>, 139 Wis. 2d 805, 407 N.W. 2d 908 (1987). Although the Court's discussion focused on the employment area, the societal interests discussed are relevant to the licensing area. The Court stated, Id. at 821, that:

It is evident that the legislature sought to balance at least two interests. On the one hand, society has an interest in rehabilitating one who has been convicted of crime and protecting him or her from being discriminated against in the area of employment. Employment is an integral part of the rehabilitation process. On the other hand, society has an interest in protecting its citizens. There is a concern that individuals, and the community at large, not bear an unreasonable risk that a convicted person, being placed in an employment situation offering temptations or opportunities for criminal activity similar to those present in the crimes for which he had been previously convicted, will commit another similar crime. This concern is legitimate since it is necessarily based on the well-documented phenomenon of recidivism.

In reference to assessing the risk of recidivism, the Supreme Court stated, Id. at 823-824,

In balancing the competing interests, and structuring the exception, the legislature has had to determine how to assess when the risk of recidivism becomes too great to ask the citizenry to bear. The test is when the circumstances, of the offense and the particular job, are substantially related. ...

that:

Assessing whether the tendencies and inclinations to behave a certain way in a particular context are likely to reappear later in a related context, based on the traits revealed, is the purpose of the test. ...

It is the circumstances which foster criminal activity that are important, e.g., the opportunity for criminal behavior, the reaction to responsibility, or the character traits of the person.

In this case, Ms. Clifford would have ample opportunity to commit crimes similar to the one for which she was convicted. As a registered nurse, she would have easy access to patient medications, including controlled substances. In reference to character traits, her conduct as evidenced by her conviction for theft reflects that she is dishonest and untrustworthy.

Having found that Ms. Clifford engaged in unprofessional conduct, a determination must be made regarding whether discipline should be imposed, and if so, what discipline is appropriate.

The Board of Nursing is authorized under s. 441.07 (1), Stats., to reprimand a registered nurse or limit, suspend or revoke the license of a registered nurse if it finds that the individual has engaged in unprofessional conduct.

The purposes of discipline by occupational licensing boards are to protect the public, deter other licensees from engaging in similar misconduct and to promote the rehabilitation of the licensee. <u>State v. Aldrich</u>, 71 Wis. 2d 206 (1976). Punishment of the licensee is not a proper consideration. <u>State v. McIntyre</u>, 41 Wis. 2d 481 (1969).

The Administrative Law Judge recommends that Ms. Clifford's license to practice as a registered nurse be revoked. This measure is designed primarily to assure protection of the public and to deter other licensees from engaging in similar misconduct.

Public trust is essential to the practice of professional nursing. Ms. Clifford has shown by her conduct that she is dishonest and untrustworthy and that she is incapable of practicing in a manner which safeguards the interest of the public. At this time, revocation of her license is the only viable measure available to assure protection of the public and to deter other licensees from engaging in similar misconduct. In the future, Ms. Clifford may seek reinstatement of her license upon submission of satisfactory evidence to the Board of successful rehabilitation.

Based upon the record herein, the Administrative Law Judge recommends that the Board of Nursing adopt as its final decision in this matter, the proposed Findings of Fact, Conclusions of Law and Order as set forth herein.

Dated at Madison, Wisconsin this 19th day of November, 1996

Respectfully submitted,

Ruby Jefferson-Moore

Administrative Law Judge

# STATE OF WISCONSIN DEPARTMENT OF REGULATION AND LICENSING BEFORE THE BOARD OF NURSING

In the Matter of the Disciplinary Proceedings Against

Respondent.

Ada L. Clifford, R.N.,

AFFIDAVIT OF MAILING

STATE OF WISCONSIN	)
	)

COUNTY OF DANE

- I, Kate Rotenberg, having been duly sworn on oath, state the following to be true and correct based on my personal knowledge:
  - 1. I am employed by the Wisconsin Department of Regulation and Licensing.
- 2. On January 10, 1997, I served the Final Decision and Order dated January 9, 1997, LS9605151NUR, upon the Respondent Ada L. Clifford, R.N. by enclosing a true and accurate copy of the above-described document in an envelope properly stamped and addressed to the above-named Respondent and placing the envelope in the State of Wisconsin mail system to be mailed by the United States Post Office by certified mail. The certified mail receipt number on the envelope is P 213 340 383.
- 3. The address used for mailing the Decision is the address that appears in the records of the Department as the Respondent's last-known address and is:

Ada L. Clifford, R.N. W3808 Spring Creek Drive Tomahawk WI 54487

Kate Rotenberg

Department of Regulation and Licensing

Office of Legal Counsel

Subscribed and sworn to before me

\_ day of \_\@MUM/U, 199

Notary Public, State of Wisconsin My commission is permanent.

## NOTICE OF APPEAL INFORMATION

Notice Of Rights For Rehearing Or Judicial Review, The Times Allowed For Each, And The Identification Of The Party To Be Named As Respondent.

## Serve Petition for Rehearing or Judicial Review on:

STATE OF WISCONSIN BOARD OF NURSING

P.O. Box 8935
Madison, WI 53708.

# The Date of Mailing this Decision is:

January 10, 1997

#### 1. REHEARING

Any person aggrieved by this order may file a written petition for reheating within 20 days after service of this order, as provided in sec. 227.49 of the Wisconsin Statutes, a copy of which is reprinted on side two of this sheet. The 20 day period commences the day of personal service or mailing of this decision. (The date of mailing this decision is shown above.)

A petition for rehearing should name as respondent and be filed with the party identified in the box above.

A petition for reheating is not a prerequisite for appeal or review.

#### 2. JUDICIAL REVIEW.

Any person aggrieved by this decision may petition for judicial review as specified in sec. 227.53, Wisconsin Statutes a copy of which is reprinted on side two of this sheet. By law, a petition for review must be filed in circuit court and should name as the respondent the party listed in the box above. A copy of the petition for judicial review should be served upon the party listed in the box above.

A petition must be filed within 30 days after service of this decision if there is no petition for rehearing, or within 30 days after service of the order finally disposing of a petition for rehearing, or within 30 days after the final disposition by operation of law of any petition for rehearing.

The 30-day period for serving and filing a petition commences on the day after personal service or mailing of the decision by the agency, or the day after the final disposition by operation of the law of any petition for rehearing. (The date of mailing this decision is shown above.)